Attorney's Docket No.: 17102-010001 / 1759

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ault Riche et al.

Art Unit : 1645

Fial No.: 10/699,114

Examiner: Unknown Customer No.: 20985

Filed : October 30, 2003

Confirmation No.: 7825

: SYSTEMS FOR CAPTURE AND ANALYSIS OF BIOLOGICAL

PARTICLES AND METHODS USING THE SYSTEMS

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL LETTER

Dear Sir:

Title

Transmitted herewith are a Supplemental Information Disclosure Statement and Forms PTO-1449 (3) pages and cited references for filing in connection with the above-identified application. Because this Supplemental Information Disclosure Statement is filed prior to receipt of a first office action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 06-1050, as stated below:

The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie I. Seidman

Dated: November 3, 2004

Attorney Docket No. 17102-010001/1759

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Attorney's Docket No.: 17102-010001 / 1759



Applicant: Ault Riche et al. Art Unit : 1645 Serial No.: 10/699,114 Examiner: Unknown Filed : October 30, 2003 Customer No.: 20985

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Title : SYSTEMS FOR CAPTURE AND ANALYSIS OF BIOLOGICAL PARTICLES

AND METHODS USING THE SYSTEMS

## Mail Stop Amendment

Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§1.97-1.98

Dear Sir:

Because this Supplemental Information Disclosure Statement is before receipt of a first Office Action on the Merits of the above-captioned application, no fee is enclosed. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (3 pages) and copies of the cited references are provided herewith in connection with the above-captioned application.

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Applicant also makes known to the Examiner the following related, co-pending applications:

| U.S.S.N.   | Filing Date | Docket No. |
|------------|-------------|------------|
| 10/699,088 | 10/30/03    | 1754       |
| 10/699,113 | 10/30/03    | 1755       |
| 10/806,924 | 3/22/04     | 1760       |

Applicant: Ault Riche et al.

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| 60/536,184 | 1/12/04 | P1762  |
|------------|---------|--------|
| 60/557,591 | 3/29/04 | P1762B |

| Int'l Appln. No. | Filing Date | Docket No. |
|------------------|-------------|------------|
| PCT/US03/34821   | 10/30/03    | 1754PC     |
| PCT/US03/34747   | 10/30/03    | 1755PC     |
| PCT/US03/34693   | 10/30/03    | 1759PC     |

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie L. Seidman

Reg. No. 33,779

Dated November 3, 2004

Attorney's Docket No.: 17102-010001 / 1759

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Substitute Form PTO-1449 U.S (Modified)

U.S. Department of Commerce Learn and Trademark Office

Attorney's Docket No. 17102-010001 / 1759

Application No. 10/699,114

## List of Patents and Publications for Applicant's Information Disclosure Statement

Applicant
Ault Riche et al.

Filing Date

October 30, 2003

Group Art Unit 1645

(37 CFR §1.98(b))

**U.S. Patent Documents** Document **Publication** Filing Date Examiner Desig. Class Subclass Initial ID Number Date Patentee If Appropriate 4,591,570 05/27/86 Chang 02/02/83 Α 435 7.24 В 4,829,010 05/09/89 436 518 03/13/87 Chang  $\mathbf{C}$ 4,977,078 12/11/90 Niimura iet al. 435 7.24 12/22/87 03/31/92 7.24 04/27/87 D 5,100,777 Chang 435 Ε 5,324,591 06/28/94 Georger, Jr. et al. 428 552 10/16/90 32 03/07/94 F 5,510,628 04/23/96 Georger, Jr. et al. 257 6 09/17/96 Lockhart et al. 435 10/24/94 G 5,556,752 Η 5,593,848 01/14/97 Levine et al. 435 7.24 11/07/94 435 7.2 02/14/94 I 5,635,358 06/03/97 Wilding et al. J 5,695,946 12/09/97 Benjamin et al. 435 7.32 05/03/95 K 474.4 04/07/98 Conrad et al. 428 04/25/95 5,736,257 L 435 08/07/96 5,750,346 05/12/98 Bridgham et al. 6 M 5,837,465 11/17/98 Squirrell et al. 435 6 02/28/97 2 03/07/97 N 5,847,019 12/08/98 Conrad et al 522 6,017,719 01/25/00 Tseng-Law et al. 435 7.21 06/07/95 O 436 518 07/16/97 P 6,037,186 03/14/00 Stimpson 7.21 02/18/99 6,251,615 06/26/01 Oberhardt 435 Q 518 06/21/99 R 6,306,664 10/23/01 Stimpson 436 05/14/02 Perbost et al. 435 7.1 10/22/99 S 6,387,636 6 Т 6,428,957 08/06/02 Delenstarr 435 11/0/99 U 6,432,653 08/13/02 Okarma 435 7.21 08/17/94 09/17/02 Larocca et al. 435 6 02/26/99 6,451,527 01/27/04 Wagner et al. 436 518 05/12/00 W 6,682,942 6 X 2001/0044112 11/22/01 Lyles 435 03/24/01 Y 2002/0064774 05/30/02 Schembri et al. 435 6 04/27/99 Z 2002/0068272 06/06/02 Larocca et al. 435 5 05/24/01 2002/0076829 AA06/20/02 Stimpson 436 514 09/14/01

Examiner Signature

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SYSTEMS FOR CAPTURE AND ANALYSIS OF BIOLOGICAL PARTICLES AND METHODS USING THE SYSTEMS

| Substitute Form PTO-1440 U.S. Department of Commerce (Modified)  U.S. Department of Commerce Pates and Trademark Office | Attorney's Docket No.<br>17102-010001 / 1759 | Application No. 10/699,114 |
|---|--|----------------------------|
| List of Patents and Publications for Applicant's Information Disclosure Statement                                       | Applicant Ault Riche et al.                  |                            |
| (37 CFR §1.98(b))   | Filing Date October 30, 2003                 | Group Art Unit<br>1645     |

|          | Foreign Patent Documents or Published Foreign Patent Applications |           |             |               |       |          |        |    |
|----------|---|-----------|-------------|---------------|-------|----------|--------|----|
| Examiner | Desig.  | Document  | Publication |               |       |          | lation |    |
| Initial  | ID  | Number    | Date        | Patent Office | Class | Subclass | Yes    | No |
|          | AB  | 00/24937  | 05/04/00    | PCT           |       |          |        |    |
|          | AC  | 00/39580  | 07/06/00    | PCT           |       |          |        |    |
|          | AD  | 04/042019 | 05/21/04    | PCT           |       |          |        |    |
|          | AE  | 98/23950  | 06/04/98    | PCT           |       |          |        |    |
|          | AF  | 99/32663  | 07/01/99    | PCT           |       |          |        |    |
|          | AG  | 99/39210  | 08/05/99    | PCT           |       |          |        |    |
|          | AH  | 99/60007  | 11/25/99    | PCT           |       |          |        |    |

|                     | Other Documents (include Author, Title, Date, and Place of Publication) |  |  |  |
|---------------------|---|--|--|--|
| Examiner<br>Initial | Desig.<br>ID  | Document   |  |  |
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|                     | AR  | Kabat et al. (Eds.), Sequences of Proteins of Immunological Interest, Fifth Edition, Volume I, U.S. Department of Health and Human Services, Public Health Service National Institutes of Health, NIH Publication No. 91-3242 pp. XVI (1991)   |  |  |
|                     | AS  | Kumble et al., "Inactivation of a beta-glucosidase from <i>Arthrobotrys conoides</i> by diethyl pyrocarbonate: Evidence of histidine at the active site," Indian J Exp Biol. 30(2):99-102 (1992)   |  |  |

| Examiner Signature | Date Considered |
|--------------------|-----------------|
|                    |                 |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SYSTEMS FOR CAPTURE AND ANALYSIS OF BIOLOGICAL PARTICLES AND METHODS USING THE SYSTEMS

Application No. Substitute Form PTO-1449 rtment of Commerce Attorney's Docket No. (Modified) and Trademark Office 10/699,114 17102-010001 / 1759 Applicant List of Patents and Publications for Applicant's Ault Riche et al. **Information Disclosure Statement** Filing Date Group Art Unit October 30, 2003 1645 (37 CFR §1.98(b))

|          | Other Documents (include Author, Title, Date, and Place of Publication) |   |  |  |  |
|----------|---|---|--|--|--|
| Examiner | Desig.  |   |  |  |  |
| Initial  | ID  | Document  |  |  |  |
|          | AT  | Kumble et al., "Purification and characterisation of two endoglucanases from <i>Arthrobotrys oligospora</i> ," Indian J Biochem Biophys. 27(3):146-50 (1990)  |  |  |  |
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|          | AY  | Selkoe, D.J., "Cellular and Molecular Biology of the Beta-Amyloid Precursor Protein and Alzheimer's Disease," Chapter 32 in <i>The Molecular and Genetic Basis of Neurological Disease</i> Rosenberg et al. (Eds.) Boston: Butterworth-Heinemann, pp.601-612 (1997) |  |  |  |
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Examiner Signature

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